



MIAMI-DADE EXPRESSWAY AUTHORITY

3790 NW 21 St. // Miami, FL 33142

www.mdxway.com

SUPPLEMENTAL AGREEMENT NO. 1 TO PROFESSIONAL SERVICES AGREEMENT

MDX PROCUREMENT/CONTRACT NO.: RFP-15-05
MDX WORK PROGRAM NOS.: N/A
MDX PROJECT/SERVICE TITLE: INVESTMENT ADVISORY SERVICES

THIS SUPPLEMENTAL AGREEMENT NO. TO PROFESSIONAL SERVICES AGREEMENT (as "SA #1") is made and entered into this 1 day of June, 2021 (the "Effective Date"), by and between the *Miami-Dade County Expressway Authority d/b/a as Miami-Dade Expressway Authority* (the "Authority" or "MDX"), a body politic and corporate, a public instrumentality of the State of Florida, under Chapter 348, Part 1, Florida Statutes, and created by the Board of County Commissioner of Miami Dade County as amended, acting by and through its Governing Board, and *Hilltop Securities Asset Management, LLC* (as the "Consultant"), a corporation duly organized and existing under the laws of the state of Delaware, with its principle place of business located at *1201 Elm Street Suite 3500 Dallas, TX 75270* and duly authorized to transact business in the State of Florida, F.E.I.N. 75-2397709 (collectively, referred to herein as the "Parties" to this SA #1).

WITNESSETH

WHEREAS, MDX competitively procured for all Services necessary to provide *Investment Advisory Services* (the "Services"), and subsequently on *October 27, 2015* entered into Professional Services Agreement MDX Procurement/Contract No. *RFP-15-05* with First Southwest Asset Management, LLC to perform the Services (the "Agreement" or "Contract"); and

WHEREAS, effective December 21, 2018 the corporate name "First Southwest Asset Management, LLC changed its corporate name to Hilltop Securities Asset Management, LLC, a Delaware limited liability partnership and that continues to be duly authorized to transact business in the State of Florida;

WHEREAS, the term of the Agreement is for five (5) years with an option to renew for up to two (2) years and the Parties wish to exercise the extension period allowed for in the Agreement.

NOW THEREFORE, in consideration of the mutual promises and covenants contained herein, and for such other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties hereby agree as follows:

1. The foregoing recitations are true and correct and are incorporated herein by reference.

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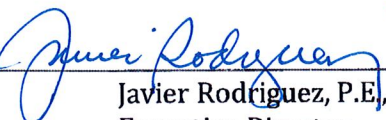
2. The Agreement is hereby extended until ***May 31, 2023***.
3. Subject to the Application by Foreign Limited Liability Company to File Amendment to Certificate of Authority to Transact Business in Florida attached here to as Exhibit "A", name First Southwest Asset Management, LLC in the Contract Document is hereby changed to read "**Hilltop Securities Asset Management, LLC**".
4. The defined terms used herein, unless otherwise defined in this SA #1, shall have the meanings ascribed to them in the Contract Documents.
5. Except as expressly provided herein, all of the terms, conditions, covenants, agreements and understandings contained in the Contract Documents shall remain unchanged and in full force and effect, and the same are hereby expressly ratified and confirmed by the Parties.
6. This SA #1 shall not alter or change in any manner the force and effect of the Contract except insofar as the same is altered and amended by this SA #1. Wherever the terms of this SA #1 and the terms of the Contract Documents are in conflict, the terms of this SA #1 shall govern and control.

IN WITNESS WHEREOF, the Parties have caused this SA #1 to be executed by their respective and duly authorized officers as of the Effective Date set forth herein.

MIAMI-DADE EXPRESSWAY AUTHORITY

HILLTOP SECURITIES ASSET MANAGEMENT, LLC.

By: _____


Javier Rodriguez, P.E.,
Executive Director

By: _____

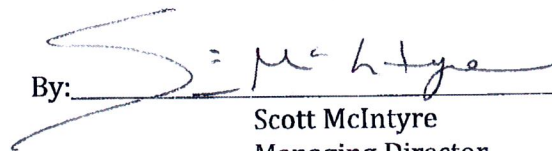

Scott McIntyre
Managing Director

Exhibit A- Application by Foreign Limited Liability Company to File Amendment to Certificate of Authority to Transact Business in Florida